# PATENT COOPERATION TREATTY

# **PCT**

REC'D	29	DEC	2000	
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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

#### (PCT Article 36 and Rule 70)

Applicant's or agent's file reference  CGW-247 PCT  FOR FURTHER ACTION See Notification of Transmittal of Internation Preliminary Examination Report (Form PCT/IPEA/41)				
International application No.	International filing date (day/r	nonth/year)	Priority date (da)	ı/month/year)
PCT/US99/21658	17 SEPTEMBER 1999		22 SEPTEMBE	ER 1998
International Patent Classification (IPC) IPC(7): C03B 37/014, 8/04 and US C		PC .		
Applicant CORNING INCORPORATED				
Examining Authority and is	:/			national Preliminary
2. This REPORT consists of a	total of sheets.			
This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority. (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).				
These annexes consist of a to	otal of sheets.			
3. This report contains indication	ns relating to the following it	ems:		
I X Basis of the repo	rt			
II Priority				
	nt of report with regard to no	velty inven	tive step or indust	rial applicability
	-	, , , , , , , , , , , , , , , , , , , ,	are step or made.	
X Reasoned statemer citations and expla	nations supporting such staten	nent	y, inventive step of	industrial applicationity,
VI Certain documents	cited			
VII Certain defects in t	VII Certain defects in the international application			
VIII Certain observation	s on the international applicat	ion		
_				
		•		
Date of submission of the demand	Date	of completio	n of this report	
27 MARCH 2000 14 SEPTEMBER 2000				
Name and mailing address of the IPEA/		orized officer		
Commissioner of Patents and Tradem Box PCT Washington, D.C. 20231		OHN HOFFN	MANN	Paralegal Specialist
Facsimile No. (703) 305-3230	Tele	ohone No.	(703) 308-0651	

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application	No.
PCT/US99/21658	

I.	Ba	sis of the repo	rt			
	With	regard to the eler	nents of the internati	onal application:*		
-			al application as o			
	X			ga.ryea		
l	X	the description	1-20	•	as originally filed	
		pages			, as originally filed, filed with the demand	
		pages	NONE	filed with the letter	of	
		pages		, med with the letter		
Γ	x	the claims:				
L		pages	21-24		, as originally filed	
		pages	NONE	, as amended (togeth	er with any statement) under Article 19	
		pages	NONE		, filed with the demand	
		pages	NONE	_ , filed with the letter of		
_						
L	X	the drawings:	1-4			
		pages			, as originally filed	
		pages			, filed with the demand	
		pages	NONE	, filed with the letter o	f	
г	v	the companse li	sting part of the de	scription:		
L	X	pages	NONE		, as originally filed	
		pages	NONE		, filed with the demand	
		pages	NONE	filed with the letter o	ıf	
		international appl se elements were	ication was filed, ur available or furnishe	less otherwise indicated under this item d to this Authority in the following lang	guage which is:	
L	the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).					
[	the language of publication of the international application (under Rule 48.3(b)).					
Г	$\neg$	the language of	the translation furni	hed for the purposes of international p	reliminary examination (under Rules 55.2 and/	
L		or 55.3).			•	
3.	Wit	th regard to any thin in the liminary examin	nucleotide and/or ation was carried	amino acid sequence disclosed in the out on the basis of the sequence listing	e international application, the international ng:	
[		contained in th	e international ap	olication in printed form.		
٢	filed together with the international application in computer readable form.					
ř	furnished subsequently to this Authority in written form.					
Ī	furnished subsequently to this Authority in computer readable form.					
[	The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the					
L 	_	international ap	plication as filed h	as been furnished.	identical to the writen sequence listing has	
L		been furnished.	at the phormation	ecolded in compact readable form is	defined to the when sequence fishing im-	
4.	X	The amendmen	nts have resulted	n the cancellation of:		
		X the descr	ription, pages	NONE		
		X the claim	ns, Nos	NONE		
			ings, sheets/fig_	NONE		
5.	X				nade, since they have been considered to go	
		beyond the disc	closure as filed, as in	dicated in the Supplemental Box (Rule	70.2(c)).**	
	in th	acement sheets wh	hich have been furnis	hed to the receiving Office in response to	o an invitation under Article 14 are referred to ey do not contain amendments (Rules 70.16	
			et containing such	amendments must be referred to under	r item 1 and annexed to this report.	

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US99/21658

statement			
Novelty (N)	Claims	1-22	Y
	Claims	NONE	
Inventive Step (IS)	Claims	1-22	Y
	Claims	NONE	-
Industrial Applicability (IA)	Claims	1-22	Y
	Claims	NONE	N
,		•	

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US99/21658

Supplemental Box (To be used when the space in any of the preceding box	es is not sufficient)	
Continuation of: Boxes I - VIII		Sheet 10
I. BASIS OF REPORT:		
5. (Some) amendments are considered to go beyond the discl NONE	osure as filed:	
	•	

### INTERNATIONAL SEARCH REPORT

International application No.
PCT/US99/21658

A. CLASSIFICATION OF SUBJECT MATTER  IPC(6) :C03B 37/014, 8/04				
US CL :65/531, 17.4, 355 According to International Patent Classification (IPC) or to both national classification and IPC				
<del></del>	DS SEARCHED	national classification and it c		
	ocumentation searched (classification system followe	ed by classification symbols)		
U.S. :	65/531, 17.4, 355			
Documentat	tion searched other than minimum documentation to th	e extent that such documents are included	in the fields searched	
Electronic d	lata base consulted during the international search (n	ame of data base and, where practicable	. search terms used)	
C. DOC	UMENTS CONSIDERED TO BE RELEVANT			
Category*	Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.	
A	US 5,152,819 A (BLACKWELL et al document.	1) 06 October 1992, see entire	1-22	
A	US 5,043,002 A (DOBBINS et al) 27 August 1991, see entire document.			
A,P	A,P US 5,922,100 A (CAIN et al) 13 July 1999, see entire document 1-22			
A	A US 5,599,371 A (CAIN et al) 04 February 1997, see entire 1-22 document.			
Further documents are listed in the continuation of Box C. See patent family annex.				
1	ecial categories of cited documents:	"T" later document published after the inte date and not in conflict with the appl	ication but cited to understand	
	cument defining the general state of the art which is not considered be of particular relevance	the principle or theory underlying the		
1	rlier document published on or after the international filing date cument which may throw doubts on priority claim(s) or which is	"X" document of particular relevance; the considered novel or cannot be conside when the document is taken alone	red to involve an inventive step	
cit	and to establish the publication date of another citation or other scial reason (as specified)	"Y" document of particular relevance; the	claimed invention cannot be	
•0• do	cument referring to an oral disclosure, use, exhibition or other	considered to involve an inventive combined with one or more other such	step when the document is a documents, such combination	
being obvious to a person skilled in the art  *P* document published prior to the international filing date but later than *& * document member of the same patent family the priority date claimed				
Date of the actual completion of the international search  Date of mailing of the international search report				
12 DECEMBER 1999 <b>23 DEC 1999</b>				
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230  Authorized officer JIOHN HOFFMANN  Telephone No. (703) 308-0651				
_	n, D.C. 20231	Telephone No. (703) 308-0651		



#### From the INTERNATIONAL BUREAU

#### **PCT**

#### **NOTIFICATION OF ELECTION**

(PCT Rule 61.2)

To:

Assistant Commissioner for Patents United States Patent and Trademark Office Box PCT Washington, D.C.20231 ETATS-UNIS D'AMERIQUE

Date of mailing (day/month/year)
01 May 2000 (01.05.00)

International application No.
PCT/US99/21658

International filing date (day/month/year)
17 September 1999 (17.09.99)

Applicant

BALL, Laura, J. et al

1.	The designated Office is hereby notified of its election made:
	X in the demand filed with the International Preliminary Examining Authority on:
	27 March 2000 (27.03.00)
	in a notice effecting later election filed with the International Bureau on:
2.	The election X was
	was not
	made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Authorized officer

Olivia RANAIVOJAONA

Facsimile No.: (41-22) 740.14.35 Telephone No.: (41-22) 338.83.38